



## SIRT3 silencing sensitizes breast cancer cells to cytotoxic treatments through an increment in ROS production

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Keywords:	Breast cancer, SIRT3, Oxidative stress, Tamoxifen, Cisplatin

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## TITLE PAGE

**SIRT3 silencing sensitizes breast cancer cells to cytotoxic treatments through an increment in ROS production****Authors names and affiliations:**

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**Abstract**

SIRT3, the major deacetylase in mitochondria, plays a crucial role modulating ROS production and scavenging by regulating key proteins implicated in mitochondrial turnover and in antioxidant defenses. Therefore, SIRT3 could confer resistance to chemotherapy-induced oxidative stress, leading to a lower ROS production and a higher cell survival. Our aim was to analyze whether SIRT3 silencing in breast cancer cells through a specific siRNA could increase oxidative stress and thus compromise the antioxidant response, resulting in a sensitization of the cells to cisplatin (CDDP) or tamoxifen (TAM). For this purpose, we studied cell viability, ROS production, apoptosis and autophagy in MCF-7 and T47D cell lines treated with these cytotoxic compounds, these either alone, or in combination with SIRT3 silencing. Moreover, protein levels regulated by SIRT3 were also examined and survival curves were analyzed to study the importance of SIRT3 expression for the overall survival of breast cancer patients. When SIRT3 was silenced and combined with cytotoxic treatments, cell viability was highly decreased, and was accompanied by a significant increase in ROS production. While TAM treatment increased autophagic cell death, CDDP significantly triggered apoptosis, whereas SIRT3 silencing produced an enhancement of these two action mechanisms. SIRT3 knockdown also affected PGC-1 $\alpha$  and TFAM (mitochondrial biogenesis), and MnSOD and IDH<sub>2</sub> (antioxidant defenses) protein levels. Finally, survival curves showed that higher SIRT3 expression is correlated to a poorer prognosis for patients with grade 3 breast cancer. In conclusion, SIRT3 could be a therapeutic target for breast cancer, improving the effectiveness of CDDP and TAM treatments.

## Introduction

Breast cancer is the most frequently diagnosed malignancy and a leading cause of death among women worldwide, and represented 25% of all female cancers in 2012 (Ferlay et al., 2013; Youlden et al., 2012). Although estrogen exposure constitutes a well-known risk factor for developing breast cancer (Chen et al., 2008), previous studies have pointed out that reactive oxygen species (ROS) and an altered mitochondrial function may have key roles in the progression of this disease (Roca et al., 2014).

Mitochondria are the major source of ROS in the cell because of the metabolic reactions that take place in these organelles. High levels of ROS can lead to oxidative stress, cause damage to proteins, lipids, and DNA, as well as contribute to genomic instability and tumor promotion (Finley and Haigis, 2012; Valle and Roca, 2012). Furthermore, when ROS levels rise above a certain threshold, which is at a higher level in cancer cells than in normal ones, ROS can induce apoptosis and cellular senescence (Sainz et al., 2012; Valle and Roca, 2012). This situation occurs with the use of some of the current anticancer therapies, such as cisplatin (CDDP) and tamoxifen (TAM). CDDP forms DNA adducts and is used against a wide variety of tumors (Kelland, 2007; Siddik, 2003), while TAM inhibits the estrogen receptor alpha (ER- $\alpha$ ) and induces cell arrest, which is why this latter therapy is the main choice of treatment for ER-positive breast tumors (Razandi et al., 2013; Zhang et al., 2013). However, both CDDP and TAM alter mitochondrial function and contribute to increase oxidative stress in cancer cells (Pons et al., 2015a; Zhang et al., 2013).

Recent studies suggest that sirtuin 3 (SIRT3), a member of a family of NAD<sup>+</sup>-dependent deacetylases, is implicated in the oxidative stress response through the regulation of mitochondrial metabolism and antioxidant mechanisms (Alhazzazi et al., 2013; Finley and Haigis, 2012; Papa and Germain, 2014). SIRT3 enters the mitochondria to ameliorate oxidative stress by deacetylation of its targets, such as: oxidative phosphorylation complexes (OXPHOS) subunits, manganese superoxide dismutase (MnSOD), isocitrate dehydrogenase (IDH<sub>2</sub>) or glutamate dehydrogenase (Bause and Haigis, 2013; Finley and Haigis, 2012; Sack and Finkel, 2012; Weir et al.,

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3 2013; Zhu et al., 2014). Thus, SIRT3 is a protection mechanism in normal cells;  
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5 however, its activity could also protect cancer cells from chemotherapy-induced  
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7 oxidative stress. In fact, tamoxifen induced overexpression of SIRT3 in breast cancer  
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9 cells, which in turn conferred resistance to these cells for the treatment (Papa and  
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11 Germain, 2014; Zhang et al., 2013). Different studies have also found a significant  
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13 correlation between SIRT3 levels and progression of several types of cancer, such as  
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15 breast, colon or oral cancer (Chen et al., 2014; Desouki et al., 2014; He et al., 2014; Liu  
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17 et al., 2014; Wei et al., 2013; Zhang et al., 2013).

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19 Another important target of SIRT3 is forkhead box 3a (FOXO3a), a transcription  
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21 factor that controls mitochondrial turnover through the regulation of processes such  
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23 as mitochondrial biogenesis, mitochondrial dynamics and mitophagy (Tseng et al.,  
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25 2013). Furthermore, FOXO3a upregulates antioxidant enzymes such as MnSOD,  
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27 peroxiredoxin 3, and catalase in order to protect cells against oxidative stress (Bause  
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29 and Haigis, 2013; Tseng et al., 2013). Thus, SIRT3 can indirectly affect expression levels  
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31 of proteins related to mitochondrial homeostasis through deacetylation of FOXO3a.

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33 The aim of this study was to analyze whether small interfering RNA siRNA-mediated  
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35 SIRT3 silencing could increase oxidative stress and lead to mitochondrial dysfunction,  
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37 and consequently make cancer cells more sensitive to cytotoxic treatments of breast  
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39 cancer. For this purpose, we studied two human breast cancer cell lines, MCF-7 and  
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41 T47D. Previous studies in our group showed that these lines exhibit different  
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43 regulatory mechanisms for oxidative stress and treatment response (Nadal-Serrano et  
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45 al., 2012; Sastre-Serra et al., 2012). Specifically, we silenced SIRT3 and treated cells  
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47 with CDDP or TAM. Parameters such as ROS production, apoptosis, autophagy, and  
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49 levels of different proteins were evaluated.  
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## MATERIALS AND METHODS

### *Reagents*

Dulbecco's Modified Eagle's medium (DMEM) high glucose was purchased from GIBCO (Paisley, UK). Cisplatin (*cis-diamminedichloroplatinum II* or CDDP) and tamoxifen (*trans-2-[4-(1,2-Diphenyl-1-butenyl)phenoxy]-N,N-dimethylethylamine* or TAM) were obtained from Sigma-Aldrich (St. Louis, MO, USA). Routine chemicals were supplied by Sigma-Aldrich (St. Louis, MO, USA), Roche (Barcelona, Spain), Panreac (Barcelona, Spain) and Bio-Rad Laboratories (Hercules, CA, USA).

### *Cell culture*

Human breast cancer cell lines MCF-7 and T47D were purchased from American Type Culture Collection (ATCC; Manassas, VA, USA) and maintained in DMEM supplemented with 10% (v/v) heat-inactivated fetal bovine serum (FBS) and 1% (v/v) antibiotics (penicillin and streptomycin) at 37 °C with 5% CO<sub>2</sub>.

### *Cell transfection and treatments*

The day before transfection cells were plated in 6-well culture plates at a density of 3.5 x 10<sup>5</sup> cells/well for Western Blot or in 96-well culture plates at a density of 1.5 x 10<sup>4</sup> cells/well for cell viability and fluorometric assays. Transfection with a specific SIRT3 small interfering RNA (siRNA) was carried out with Lipofectamine 2000 reagent (Life Technologies, Thermo Fisher Scientific, Waltham, MA, USA) according to the manufacturer's protocol. After 6h of transfection, the siRNA-lipofectamine complexes were removed and cells were maintained in DMEM. The next day, cells were treated with 10 μM CDDP or 10 μM TAM for 48h using DMSO as a vehicle.

### *Cell viability assay*

Cells were transfected and treated as stated above. After treatment, cell viability was determined by crystal violet assay. Briefly, cells were stained with 0.5% (p/v) crystal violet in 30% (v/v) acetic acid for 10 min. After washing in distilled water, 100 μl of methanol were added to solubilize the dye and absorbance was measured at 595 nm using a PowerWave XS Microplate Spectrophotometer (BioTek Instruments, Inc.).

### *Colony formation assay*

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3 To determine the cell survival rate after transfection and cytotoxic treatments, a clonogenic  
4 assay was performed as described before in Pons et al (2015a). Briefly, cells were trypsinized  
5 and seeded at low density,  $5 \times 10^3$  cells per 60-mm plate. Cells were cultured for up to 14 days  
6 and fresh culture medium was added three times per week. Colonies were stained with crystal  
7 violet and counted for each condition.  
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#### 10 11 *Fluorometric measurement of ROS production*

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14 To measure ROS production after treatment, the Amplex<sup>®</sup> Red Hydrogen  
15 Peroxide/Peroxidase Assay Kit (Molecular Probes, Eugene, Oregon, USA) was used. Briefly, 50  
16  $\mu\text{M}$  Amplex Red reagent and 0.1 U/ml horseradish peroxidase were diluted in Krebs-Ringer  
17 phosphate buffer and the reaction mixture was added to cells. Fluorescence measurement was  
18 recorded at times 0, 15, 30 and 60 minutes. An FLx800 microplate fluorescence reader (Bio-Tek  
19 Winooski, Vermont, USA) was used, set at excitation and emission wavelengths of 571 nm and  
20 585 nm, respectively. Values were normalized per number of viable cells determined by crystal  
21 violet assay performed as described above.  
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#### 28 *Fluorometric determination of autophagy*

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31 Following treatment, autophagy was measured fluorimetrically using the Monodansyl  
32 cadaverine (MDC) probe as previously described by Dando et al (2013). Cells were stained with  
33 50  $\mu\text{M}$  MDC for 15 minutes and then rinsed with PBS. Fluorescence measurement was  
34 performed using an FLx800 microplate fluorescence reader (Bio-Tek Winooski, Vermont, USA).  
35 Excitation and emission wavelengths were set at 340 nm and 535 nm, respectively. All values  
36 were normalized per number of viable cells determined by crystal violet assay performed as  
37 described above.  
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#### 44 *Apoptosis fluorometric assay*

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46 Apoptosis was measured fluorometrically using Annexin V staining as described by  
47 Dando et al (2013). Briefly, after cytotoxic treatment, cells were fixed with 2%  
48 paraformaldehyde (BD Biosciences, NJ, USA) in PBS for 30 minutes at room temperature. After  
49 washing cells twice with PBS, Annexin V/AlexaFluor350 (Life Technologies, Thermo Fisher  
50 Scientific, Waltham, MA, USA) in annexin binding buffer (10 mM HEPES/NaOH pH 7.4, 140 mM  
51 NaOH and 2.5 mM  $\text{CaCl}_2$ ) was added for 10 minutes in the dark at room temperature. Cells  
52 were rinsed twice with annexin binding buffer and fluorescence was measured. An FLx800  
53 microplate fluorescence reader (Bio-Tek Winooski, Vermont, USA) was used and excitation and  
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3 emission wavelengths were set at 346 and 442 nm. Values were normalized per number of  
4 viable cells determined by crystal violet assay.  
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#### 7 *Western blot analysis*

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10 After treatment, cells were harvested by scraping them into 200  $\mu$ L of RIPA buffer (50 mM  
11 Tris-HCl pH 7.5, 150 mM NaCl, 0.1% SDS, 0.5% deoxycholate, 1% Triton X-100, 1 mM EDTA,  
12 0.01 mM leupeptin, 0.01 M pepstatin, 2 mM PMSF, 1 mM NaF and 1 mM  $\text{Na}_3\text{VO}_4$ ) and  
13 disrupted by sonication at 40% amplitude for 10 seconds three times (VibraCell 75185).  
14 Samples were then centrifuged at 14000  $\times g$  for 10 min at 4  $^\circ\text{C}$  and protein content  
15 (supernatant) was determined with a bicinchoninic acid (BCA) protein assay kit (Pierce, Bonn,  
16 Germany).  
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22 Twenty  $\mu$ g of protein from cell lysate were separated on 10% SDS-PAGE gels and  
23 electrotransferred to 0.22  $\mu$ m or 0.45  $\mu$ m nitrocellulose membranes (Bio-Rad). Membranes  
24 were blocked with 5% non-fat powdered milk in Tris-buffered saline-Tween (TBS with 0.05%  
25 Tween-20) for 1h. Antisera against SIRT3, PARP, LC3A/B, IDH, TFAM (Cell Signaling Technology  
26 Inc, Danvers, MA), PGC-1 $\alpha$  (Abcam, Cambridge, UK), GAPDH and MnSOD (Santa Cruz  
27 Biotechnology, CA, USA) were used as primary antibodies. Protein bands were visualized by  
28 Immun-Star<sup>®</sup> Western C<sup>®</sup> Kit reagent (Bio-Rad) Western blotting detection systems. The  
29 chemiluminescence signal was captured with a Chemidoc XRS densitometer (Bio-Rad) and  
30 results were analyzed with Quantity One Software (Bio-Rad).  
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#### 38 *Immunoprecipitation and acetylation analysis*

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40 After siRNA treatment for 72 hours, cells were harvested as described before for Western  
41 Blot analysis. Protein content was determined with a BCA protein assay and 1  $\mu$ g of MnSOD  
42 antibody (Santa Cruz Biotechnology, CA, USA) was added to 200  $\mu$ g of total protein to start  
43 immunoprecipitation. The mixture was kept rocking overnight at 4  $^\circ\text{C}$ . The next day, 7.5  $\mu$ L of  
44 protein A-Agarose (Sigma-Aldrich, St. Louis, MO, USA) were added and the samples were  
45 incubated for 3h rocking at 4  $^\circ\text{C}$  and then centrifuged at 2500  $\times g$ , 4  $^\circ\text{C}$  for 30 seconds. Five  
46 washes with RIPA were made and the pellet was resuspended in 15  $\mu$ L of sample buffer.  
47 Samples were separated on 12% SDS-PAGE gels and electrotransferred to 0.22  $\mu$ m  
48 nitrocellulose membrane, which was stained with Ponceau S and then rinsed with TBS-Tween.  
49 After blocking with 5% non-fat powdered milk in TBS-Tween, antiserum against acetylated-  
50 lysine (Cell signaling Technology Inc, Danvers, MA) was used as primary antibody. Protein  
51 bands were visualized by Immun-Star<sup>®</sup> Western C<sup>®</sup> Kit reagent (Bio-Rad) Western blotting  
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3 detection systems. The chemiluminescence signal was captured with a Chemidoc XRS  
4 densitometer (Bio-Rad) and results were analyzed with Quantity One Software (Bio-Rad).  
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#### 7 *Kaplan-Meier survival curves*

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9 Kaplan-Meier plots were created using the online tool Kaplan-Meier plotter  
10 ([www.kmplot.com](http://www.kmplot.com)) (Gyorffy et al., 2010). Overall survival (OS) was assessed for breast cancer  
11 patients. The mRNA JetSet best probe set for SIRT3 (221913\_at) was used for the curves and  
12 the ER status was fixed as ER positive or ER negative, as checked by immunohistochemistry.  
13 Patients were split by median selecting the best cutoff and the follow up threshold was set to 5  
14 years, censoring patients surviving over this threshold. For quality control, outlier arrays were  
15 excluded and redundant samples were removed. The analysis was performed including all  
16 grade 3 breast cancer patients and selecting the most recent update of the database (2014  
17 version). These criteria allowed the analysis to run on 96 patients.  
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#### 24 *Statistical analysis*

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26 The Statistical Program for the Social Sciences software for Windows (SPSS, version 21.0;  
27 SPSS Inc, Chicago, IL) was used for all statistical analyses. Results are presented as mean values  
28  $\pm$  standard error of the mean (SEM). The effects of SIRT3 silencing and cytotoxic treatment  
29 were assessed using ANOVA, and Student's t-test was performed when there were  
30 combinatory effects. Statistical significance was set at  $P < 0.05$ .  
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## RESULTS

### *SIRT3 protein levels after siRNA transfection*

To ensure that SIRT3 was silenced after siRNA transfection, protein levels of SIRT3 were measured by Western blot (Representative bands are shown in Figure 1). SIRT3 levels decreased to 25% of control values in MCF-7 cells (A), while in T47D cells they were reduced by 35% (B).

To check whether SIRT3 knockdown was functional, the acetylation of one of its targets, MnSOD, was determined (Figure 1C). As it can be seen, when siRNA against SIRT3 was added, the acetylation of MnSOD was higher, so there was a decrease in SIRT3 activity.

### *SIRT3 silencing reduces cell viability and increases the effect of cytotoxic treatment*

A cell viability assay was performed to evaluate the effect of SIRT3 silencing alone or in combination with cytotoxic treatments on cell growth. As shown in Figure 2A, SIRT3 knockdown decreased cell viability around 20% in MCF-7 cell line. TAM and CDDP reduced cell growth to 57% and 47% of control values, respectively, and when combined with SIRT3 silencing their cytotoxic effect was enhanced, further reducing viability (15% and 11% more than TAM and CDDP alone, respectively).

Accordingly, SIRT3 knockdown also affected the ability of cancer cells to form viable colonies, as was assessed by the clonogenic assay. Figure 2B shows that TAM treatment reduced colony formation by 37%, and when in combination with the siRNA against SIRT3, it decreased clonogenicity by 51%. The fewer colonies were observed in CDDP-treated cells (reduction of 69%), especially when in combination with SIRT3 silencing (reduction of 86%).

### *ROS production is significantly increased in SIRT3 knockdown cells*

Since SIRT3 plays a key role regulating oxidative stress, ROS production was analyzed. Figure 2C shows that ROS production was triggered after TAM (141%) and CDDP (242%) treatments. SIRT3 knockdown significantly raised ROS production by 196%, and this effect was even greater when combined with TAM (356%) and CDDP (496%) treatments.

### *Autophagy and apoptosis are enhanced with SIRT3 silencing*

SIRT3 knockdown caused a 47% increment in the formation of autophagic vacuoles, measured as MDC fluorescence, as shown in Figure 3A. Cytotoxic treatments also triggered a

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3 rise in autophagy (268% TAM and 220% CDDP), and especially the TAM treatment showed a  
4 greater increase when combined with SIRT3 silencing (+115% compared to TAM treatment  
5 alone). Additionally, Figure 3B shows that LC3-II/LC3-I ratio (microtubule-associated protein  
6 light chain 3, a marker for the autophagy process) was increased with SIRT3 silencing and  
7 cytotoxic treatments. As can be seen with the MDC fluorescent probe, the highest values were  
8 triggered by TAM treatment alone (451%) and by the combination of TAM and siRNA against  
9 SIRT3 (583%).  
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15 Programmed cell death was also studied through the analysis of different apoptosis  
16 markers. Figure 3D shows that both TAM and CDDP increased the annexin V fluorescence by  
17 39% and 69%, respectively. Poly (ADP-ribose) polymerase (PARP) cleavage was also higher with  
18 both treatments, as shown in Figure 3E. SIRT3 silencing alone slightly triggered apoptosis,  
19 increasing by 23% the annexin V fluorescence and by 17% the ratio cleaved PARP/PARP.  
20 However, when SIRT3 siRNA was combined with cytotoxic treatments, the effect was  
21 magnified, especially with the CDDP treatment, which increased annexin V fluorescence by  
22 187% and PARP cleavage by 120%, compared to control values. Representative bands of  
23 Western Blot of LC3 and PARP are shown in Figure 3C and 3F, respectively.  
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#### 30 *Mitochondrial biogenesis and antioxidant enzymes are negatively affected by SIRT3* 31 *knockdown* 32 33

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35 Protein levels of PGC1- $\alpha$ , the main regulator of mitochondrial biogenesis, were analyzed.  
36 SIRT3 silencing diminished the levels of PGC1- $\alpha$  by 12%, as seen in Figure 4A. Cytotoxic  
37 treatments also reduced levels of this protein by around 24%, and when combined with SIRT3  
38 knockdown, PGC1- $\alpha$  levels were further diminished.  
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42 TFAM, which is transcriptionally controlled by PGC-1 $\alpha$  and regulates mitochondrial  
43 transcription and replication, was also reduced by around 27% with SIRT3 silencing. In this  
44 case, CDDP and TAM treatments alone did not affect TFAM protein levels, although when  
45 combined with siRNA against SIRT3, TFAM levels were diminished, as seen in Figure 4B.  
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50 Finally, protein levels of antioxidant enzymes that can be deacetylated by SIRT3 were also  
51 analyzed. Figure 4C shows that MnSOD, the main superoxide scavenger in mitochondria, was  
52 reduced by 20% with SIRT3 silencing. On the other hand, IDH<sub>2</sub>, which regenerates NADPH to  
53 maintain the glutathione system, is also diminished by SIRT3 knockdown. As seen in Figure 4D,  
54 SIRT3 silencing diminished the levels of IDH<sub>2</sub> by 50%. Interestingly, CDDP treatment raised  
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3 levels of IDH<sub>2</sub> by 62%, although when combined with SIRT3 silencing, IDH<sub>2</sub> returned to control  
4 levels. Representative bands of these western blots are shown in Figure 4E.  
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7 *Higher expression of SIRT3 is related to a poorer prognosis in grade 3 breast cancer patients*  
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10 Figure 5A shows the Kaplan-Meier plots representing the overall survival of patients  
11 diagnosed with grade 3 ER positive breast cancer expressing high or low levels of SIRT3. Overall  
12 survival is significantly lower in those patients with higher expression of SIRT3 (P=0.018). On  
13 the other hand, no differences are observed in terms of overall survival when ER-negative  
14 breast cancer patients are considered (Figure 5B).  
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18 *SIRT3 silencing affects T47D breast cancer cells in a similar manner*  
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21 In order to confirm the results observed in MCF-7 cells, some key experiments were  
22 conducted with another breast cancer cell line, T47D. In T47D cells, 35% of SIRT3 silencing was  
23 achieved with the specific siRNA (Figure 1B).  
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27 First, the cell viability assay (Figure 6A) showed that T47D cells are more resistant to  
28 cytotoxic treatments than MCF-7. SIRT3 silencing reduced viability by 11% and improved the  
29 effectiveness of TAM and CDDP, causing around 10% and 5% more loss of viability,  
30 respectively.  
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34 ROS production was also affected in T47D cell line, although more subtly in comparison to  
35 MCF-7. As shown in Figure 6B, cells presented higher ROS production with SIRT3 knockdown  
36 (increased by 21%), as well as with cytotoxic treatments (+59% TAM and +31% CDDP). As  
37 expected, combining SIRT3 silencing with TAM or CDDP treatment further enhanced ROS  
38 production, resulting in a 40% and 23% increase, respectively.  
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42 Figure 6C shows that SIRT3 silencing also increased formation of autophagic vacuoles by  
43 30%. As seen in MCF-7 cells, TAM treatment caused a significant increase in autophagy,  
44 especially in combination with SIRT3 knockdown (292% and 373% of control values,  
45 respectively). CDDP treatment also increased vacuole formation by 70% and by 94% with SIRT3  
46 silencing.  
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50 Finally, Figure 6D shows that apoptosis increased by SIRT3 silencing (increased by 22%) and  
51 by cytotoxic treatments (TAM +48% and CDDP +55%), although there were no significant  
52 changes when combining treatments and siRNA, in comparison to the TAM or CDDP treatment  
53 alone.  
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## DISCUSSION

In this study, we have shown that SIRT3 silencing results in a lower viability for breast cancer cell lines MCF-7 and T47D, which was accompanied by the induction of apoptosis and autophagy. Furthermore, this lower viability occurred with an increase in ROS production, which could be explained by the reduction in protein levels related to mitochondrial biogenesis (PGC-1 $\alpha$  and TFAM), and in enzymes such as MnSOD and IDH<sub>2</sub>, which take part in ROS scavenging. Combination of SIRT3 silencing with TAM or CDDP treatments produced an increase in the efficacy of these cytotoxic compounds that was accompanied by an increase in ROS production, showing a synergic effect.

In both MCF7 and T47D cell lines, knockdown of SIRT3 diminished cell viability by about 15% as well as their ability to form viable colonies. These results are in agreement with other studies showing that SIRT3 promotes cell survival in normal cells and protects them from cell death by maintaining mitochondrial homeostasis and enhancing antioxidant systems (Alhazzazi et al., 2013; Finley and Haigis, 2012; Weir et al., 2013). Furthermore, expression of SIRT3 has been linked to a higher and more aggressive growth of several types of cancer (Alhazzazi et al., 2011; Ashraf et al., 2006; Cui et al., 2015). In this regard, bioinformatics tools show that SIRT3 expression is related to breast cancer patient prognosis. In this case, higher expression of SIRT3 in ER-positive grade 3 breast cancer patients corresponded to a poorer prognosis with a lower overall survival, as seen by other studies and in other types of cancer (He et al., 2014; Liu et al., 2014; Zhang et al., 2013).

Nevertheless, other studies show the opposite: decreased expression of SIRT3 is associated with poorer prognosis in different types of cancer (Desouki et al., 2014; Liu et al., 2014). It is important to note that we have only considered patients in an advanced stage of breast cancer (grade 3) for the determination of the effect on overall survival rate. When all grades are taken into account, SIRT3 expression is conversely correlated to survival and relapse-free survival. These contradictory results could be explained due to the dual role that SIRT3 plays in cancer, as was observed with other proteins that regulate oxidative stress (Pons et al., 2015a). In healthy tissue and in the initial stages of cancer, an increase in ROS levels induces cell proliferation (Laurent et al., 2005; Roca et al., 2014; Valle and Roca, 2012), thus a reduction in SIRT3 levels allows an increase in oxidative stress and cell transformation. On the other hand, in the advanced stages of cancer, ROS rises to excessive levels that are incompatible with cell survival and lead to apoptosis (Laurent et al., 2005; Roca et al., 2014; Valle and Roca, 2012). In

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3 this situation, a lower expression of SIRT3 could contribute to an increase in oxidative stress  
4 and induce cell death (Papa and Germain, 2014).  
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7 Consequently, SIRT3 can contribute to cell survival by balancing ROS levels in order to  
8 promote cell proliferation and transformation, avoiding the activation of apoptosis pathways  
9 (Alhazzazi et al., 2013; Papa and Germain, 2014). The reduced viability observed when SIRT3 is  
10 silenced may be due to an increment of apoptosis, probably triggered by the increase in ROS  
11 production. Apoptosis induction by high levels of ROS has been described before (Alhazzazi et  
12 al., 2013; Pons et al., 2015a; Roca et al., 2014). In this regard, our results show that with SIRT3  
13 knockdown ROS production is enhanced to excessive levels that are incompatible with cellular  
14 viability, which thus triggers the apoptosis process.  
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20 Both mitochondrial turnover (biogenesis-mitophagy) as well as the activity of antioxidant  
21 enzymes are regulated by SIRT3, which may explain the higher ROS production observed when  
22 SIRT3 is silenced. SIRT3 deacetylates and activates key mitochondrial proteins such as the  
23 subunits of the OXPHOS complexes, MnSOD or IDH<sub>2</sub>, leading to a reduction of oxidative stress  
24 (Bause and Haigis, 2013; Finley and Haigis, 2012; Sack and Finkel, 2012). MnSOD is one of the  
25 main ROS scavengers in mitochondria and its deacetylation enhances its superoxide removal  
26 activity (Chen et al., 2011; Ozden et al., 2011; Zhu et al., 2014). On the other hand, IDH<sub>2</sub>, which  
27 is more active when deacetylated, generates NADPH, essential in maintaining other cellular  
28 antioxidant defenses (Weir et al., 2013).  
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36 In addition to these action mechanisms, we also observed that SIRT3 knockdown reduced  
37 the protein levels of PGC1- $\alpha$ , TFAM, MnSOD and IDH<sub>2</sub>. These results are in agreement with  
38 previous studies that have described SIRT3 to deacetylate and promote the nuclear localization  
39 of the transcription factor FOXO3a, which controls the expression of antioxidant enzymes such  
40 as MnSOD, catalase, and IDH<sub>2</sub>, and the expression of proteins which regulate mitochondrial  
41 turnover, such as TFAM and PGC-1 $\alpha$  (Bause and Haigis, 2013; Tseng et al., 2013; Weir et al.,  
42 2013). Therefore, SIRT3 silencing could produce a less functional mitochondria pool, due to the  
43 reduction in proteins that regulate mitochondrial biogenesis and the reduction in the  
44 antioxidant enzymes that maintain mitochondrial integrity, which would in turn result in  
45 higher levels of oxidative stress.  
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53 SIRT3 silencing also enhanced the formation of autophagic vacuoles. Autophagy is a highly  
54 conserved process that mediates the degradation of damaged components or organelles,  
55 participating in their turnover and in cellular homeostasis under normal conditions (Cook et al.,  
56 2011; Poillet-Perez et al., 2015). Autophagy has been linked to cell survival, as it is considered  
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3 to be a cell protection mechanism to recover energy from unnecessary or damaged subcellular  
4 components (Cook et al., 2011; Zarzynska, 2014). However, if high levels of autophagy persist  
5 due to severe damage, autophagic cell death or programmed cell death-2 may occur (Bellot et  
6 al., 2013; Scherz-Shouval and Elazar, 2007). Autophagy is usually limited by the mTOR signaling  
7 pathway, which is inhibited under certain stresses (Scherz-Shouval and Elazar, 2011). ROS  
8 levels are known to induce autophagy by activating AMPK signaling, which inhibits mTOR (Li et  
9 al., 2012; Poillet-Perez et al., 2015; Scherz-Shouval and Elazar, 2011). Moreover, severely  
10 damaged mitochondria may directly induce autophagy by the PARKIN/PINK pathway in order  
11 to reduce the main source of ROS production (Poillet-Perez et al., 2015).  
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18 Taken together, these results are in agreement with the crucial role that SIRT3 plays as a  
19 fidelity protein in mitochondria, maintaining the integrity and proper function of these  
20 organelles, as well as contributing to cell survival and limiting ROS production (Kim et al., 2010;  
21 Park et al., 2011). Moreover, several studies have shown that SIRT3 is also relevant in an in vivo  
22 context. Different xenograft studies have been performed to show that SIRT3 knockdown  
23 inhibits cell proliferation and decreases the tumour growth of melanoma, gastric cancer and  
24 renal cell carcinoma (Choi et al., 2016; Cui et al., 2015; George et al., 2015).  
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30 The cytotoxic effect of CDDP is based in its interaction and binding to DNA, which creates  
31 adducts that difficult DNA replication and lead to activation of apoptosis (Kelland, 2007; Siddik,  
32 2003). Moreover, some studies show that CDDP targets mitochondria, causing a reduction in  
33 their functionality and an important increase in ROS production (Marullo et al., 2013; Pons et  
34 al., 2015a). Thus, CDDP induces apoptosis in a ROS-dependent way (Huang et al., 2003;  
35 Marullo et al., 2013). In this regard, our results show that CDDP treatment significantly  
36 increases apoptosis, as seen by the increase in annexin fluorescence and by the higher ratio of  
37 cleaved PARP/PARP, while autophagy markers are notably less affected. CDDP also enhances  
38 ROS production in comparison to control values and also triggers autophagy, probably because  
39 of the higher ROS production and the severely damaged mitochondria. Moreover, when SIRT3  
40 is silenced, ROS production is further increased, showing an important synergic effect  
41 (increasing 5-fold), while apoptosis is highly enhanced compared to CDDP treatment or SIRT3  
42 silencing alone. Similar results were observed in T47D cell line, although these cells are more  
43 resistant to cytotoxic treatments at the concentration used in this study, as has been  
44 previously reported by our group (D. Pons et al., 2015b).  
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55 On the other hand, TAM is commonly used to treat ER-positive breast cancer patients, since  
56 this compound is an ER- $\alpha$  antagonist. However, TAM cytotoxicity is also related to an increase  
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3 in ROS production (D. Pons et al., 2015b). In this regard, our results showed that TAM triggers  
4 ROS production and, differently to CDDP, induces autophagy. Thus, TAM may induce cell death  
5 through a different mechanism from that of CDDP, as previously described by our group (D.  
6 Pons et al., 2015a, 2015b). These results are in agreement with some studies that have shown  
7 that TAM may activate AMPK, and thus induce the formation of autophagic vacuoles  
8 (Zarzynska, 2014). When TAM treatment is combined with SIRT3 silencing, both ROS  
9 production and autophagy are increased. A possible explanation is that since the AMPK  
10 pathway is activated by both TAM treatment and ROS levels, and that mitochondria are  
11 damaged by SIRT3 silencing, autophagy may be activated through several pathways. As  
12 mentioned before, the results in T47D are similar, although this cell line is less responsive to  
13 changes in oxidative stress (Pons et al., 2015b).  
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21 In conclusion, SIRT3 knockdown could be an adjuvant treatment for breast cancer,  
22 improving the effectiveness of chemotherapy and hormonal therapy by enhancing their  
23 different action mechanisms. Moreover, our results show the crucial role of SIRT3 in regulation  
24 of mitochondria homeostasis and oxidative stress.  
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**Conflict of interest**

The authors report no conflicts of interest. The authors alone are responsible for the content and writing of the paper.

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**FIGURE LEGENDS**

Figure 1. SIRT3 Western Blots. (A) Representative bands of SIRT3 (28 kDa) Western Blot for the MCF-7 cell line after siRNA transfection for 72 hours. GAPDH was used as housekeeping. (B) Representative bands of SIRT3 Western Blot for T47D cell line after siRNA transfection. GAPDH was used as housekeeping. (C) Acetylation of MnSOD. Representative bands of the acetylation of MnSOD, target of SIRT3, are shown for MCF-7 cell line after siRNA transfection for 72 hours. The control for these IP experiments is normalized to rabbit IgG.

Figure 2. MCF-7 cell viability and colony formation diminished after SIRT3 siRNA and cytotoxic treatments, while ROS production was significantly increased. (A) Cell proliferation was assessed by crystal violet assay. (B) Clonogenic assay was performed as described under Materials and Methods section. (C) ROS production was assessed fluorimetrically by Amplex Red<sup>®</sup> method. All determinations were made after siRNA transfection and treatment with 10  $\mu$ M cisplatin or 10  $\mu$ M tamoxifen for 48 hours. Values are expressed as means  $\pm$  SEM (n=6) and normalized as percentage of the control value. Control cells are shown in white, and cells transfected with SIRT3 siRNA in black. ANOVA analysis was carried out where: S indicates a siRNA effect, C cisplatin effect, T tamoxifen effect, and CxS or TxS indicates a combinatory effect of the respective cytotoxic compound and siRNA. A Student's t test (P<0.05) was performed when combinatory effects were observed where: \* indicates a significant difference between vehicle and siRNA-treated cells; ° between control and cytotoxic treatment.

Figure 3. Autophagy and apoptosis were enhanced with SIRT3 siRNA transfection and cytotoxic treatments. (A) Autophagic vacuole formation was measured fluorimetrically using the monodansylcadaverine probe. (B) LC3-II/LC3-I protein expression was measured by Western blot analysis. (C) Representative bands of LC3-II (14 kDa) and LC3-I (16 kDa) Western Blot. GAPDH was used as a housekeeping protein. (D) Apoptosis was measured fluorimetrically using the annexin-V probe. (E) Cleaved PARP/PARP ratio was measured by Western blot. (F) Representative bands of PARP (116 kDa) and cleaved PARP (89 kDa) Western Blot. GAPDH was used as a housekeeping protein. All determinations were performed after siRNA transfection and treatment with 10  $\mu$ M cisplatin or 10  $\mu$ M tamoxifen for 48 hours. Values are expressed as means  $\pm$  SEM (n=6) and normalized as percentage of the control value. Control cells are shown in white, and cells transfected with SIRT3 siRNA are shown in black. ANOVA analysis was carried out where: S indicates siRNA effect, C cisplatin effect, T tamoxifen effect, and CxS or TxS indicates a combinatory effect of the respective cytotoxic compound and siRNA. A Student's t test (P<0.05) was performed when combinatory effects were observed where: \*

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3 indicates a significant difference between vehicle and siRNA-treated cells; ° between control  
4 and cytotoxic treatment.  
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7 Figure 4. PGC-1 $\alpha$ , TFAM, MnSOD and IDH<sub>2</sub> protein levels after siRNA transfection and  
8 cytotoxic treatments. Western Blots of (A) PGC-1 $\alpha$ ; (B) TFAM; (C) MnSOD; and (D) IDH<sub>2</sub>.  
9 Representative Western Blot analysis bands for MnSOD, IDH<sub>2</sub>, PGC-1 $\alpha$  and TFAM. GAPDH was  
10 used as a housekeeping protein. All determinations were performed after siRNA transfection  
11 and treatment with 10  $\mu$ M cisplatin or 10  $\mu$ M tamoxifen for 48 hours. Values are expressed as  
12 means  $\pm$  SEM (n=6) and normalized as percentage of the control value. Control cells are shown  
13 in white, and cells transfected with SIRT3 siRNA in black. ANOVA analysis was carried out  
14 where: S indicates a siRNA effect, C cisplatin effect, and T tamoxifen effect.  
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21 Figure 5. Kaplan-Meier survival curves show that high SIRT3 expression corresponds to a  
22 lower overall survival for ER-positive grade 3 breast cancer patients. (A) Kaplan-Meier plot for  
23 ER+ 3 grade breast cancer patients. (B) Kaplan-Meier plot for ER- 3 grade breast cancer  
24 patients. Kaplan-Meier plots were made using the online ([www.kmplot.com](http://www.kmplot.com)) Kaplan-Meier  
25 plotter dataset.  
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30 Figure 6. Cell viability, ROS production, autophagy and apoptosis in T47D after siRNA  
31 transfection and cytotoxic treatments. (A) Cell viability was assessed by crystal violet assay. (B)  
32 ROS production was assessed fluorimetrically with Amplex Red reagent. (C) Autophagic  
33 vacuole formation was measured fluorimetrically using the monodansylcadaverine probe. (D)  
34 Apoptosis was measured fluorimetrically using the annexin-V probe. All determinations were  
35 performed after siRNA transfection and treatment with 10  $\mu$ M cisplatin or 10  $\mu$ M tamoxifen  
36 for 48 hours. Values are expressed as means  $\pm$  SEM (n=6) and normalized as percentage of the  
37 control value. Control cells are shown in white, and cells transfected with SIRT3 siRNA in black.  
38 ANOVA analysis was performed where: S indicates a siRNA effect, C (cisplatin effect), T  
39 (tamoxifen effect), and CxS or TxS indicates a combinatory effect of the respective cytotoxic  
40 compound and siRNA. A Student's t test (P<0.05) was performed when combinatory effects  
41 were observed where: \* indicates a significant difference between vehicle and siRNA-treated  
42 cells; and ° between control and cytotoxic treatment.  
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Figure 1

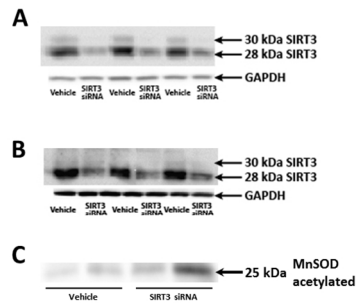


Figure 1. SIRT3 Western Blots. (A) Representative bands of SIRT3 (28 kDa) Western Blot for the MCF-7 cell line after siRNA transfection for 72 hours. GAPDH was used as housekeeping. (B) Representative bands of SIRT3 Western Blot for T47D cell line after siRNA transfection. GAPDH was used as housekeeping. (C) Acetylation of MnSOD. Representative bands of the acetylation of MnSOD, target of SIRT3, are shown for MCF-7 cell line after siRNA transfection for 72 hours. The control for these IP experiments is normalized to rabbit IgG.

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Figure 2

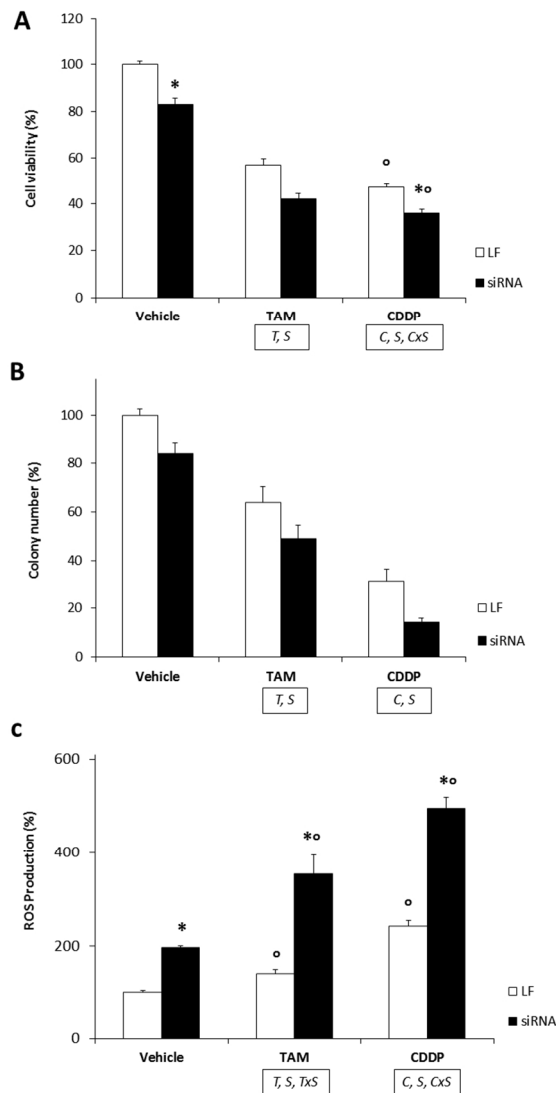


Figure 2. MCF-7 cell viability and colony formation diminished after SIRT3 siRNA and cytotoxic treatments, while ROS production was significantly increased. (A) Cell proliferation was assessed by crystal violet assay. (B) Clonogenic assay was performed as described under Materials and Methods section. (C) ROS production was assessed fluorimetrically by Amplex Red® method. All determinations were made after siRNA transfection and treatment with 10  $\mu$ M cisplatin or 10  $\mu$ M tamoxifen for 48 hours. Values are expressed as means  $\pm$  SEM (n=6) and normalized as percentage of the control value. Control cells are shown in white, and cells transfected with SIRT3 siRNA in black. ANOVA analysis was carried out where: S indicates a siRNA effect, C cisplatin effect, T tamoxifen effect, and CxS or TxS indicates a combinatory effect of the respective cytotoxic compound and siRNA. A Student's t test ( $P < 0.05$ ) was performed when combinatory effects were observed where: \* indicates a significant difference between vehicle and siRNA-treated cells; ° between control and cytotoxic treatment.

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For Peer Review

Figure 3

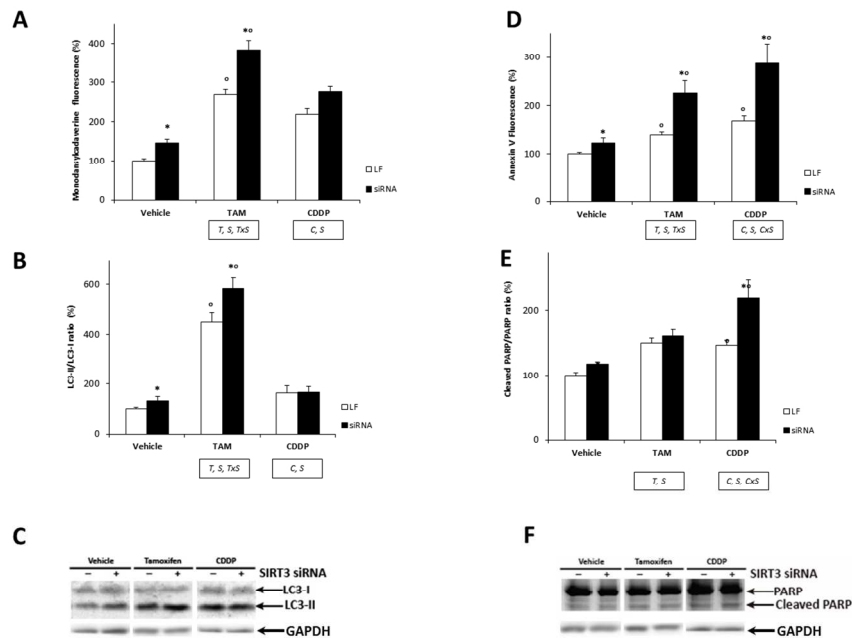


Figure 3. Autophagy and apoptosis were enhanced with SIRT3 siRNA transfection and cytotoxic treatments. (A) Autophagic vacuole formation was measured fluorimetrically using the monodansylcadaverine probe. (B) LC3-II/LC3-I protein expression was measured by Western blot analysis. (C) Representative bands of LC3-II (14 kDa) and LC3-I (16 kDa) Western Blot. GAPDH was used as a housekeeping protein. (D) Apoptosis was measured fluorimetrically using the annexin-V probe. (E) Cleaved PARP/PARP ratio was measured by Western blot. (F) Representative bands of PARP (116 kDa) and cleaved PARP (89 kDa) Western Blot. GAPDH was used as a housekeeping protein. All determinations were performed after siRNA transfection and treatment with 10  $\mu$ M cisplatin or 10  $\mu$ M tamoxifen for 48 hours. Values are expressed as means  $\pm$  SEM (n=6) and normalized as percentage of the control value. Control cells are shown in white, and cells transfected with SIRT3 siRNA are shown in black. ANOVA analysis was carried out where: S indicates siRNA effect, C cisplatin effect, T tamoxifen effect, and CxS or TxS indicates a combinatory effect of the respective cytotoxic compound and siRNA. A Student's t test ( $P < 0.05$ ) was performed when combinatory effects were observed where: \* indicates a significant difference between vehicle and siRNA-treated cells;  $^{\circ}$  between control and cytotoxic treatment.

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Figure 4

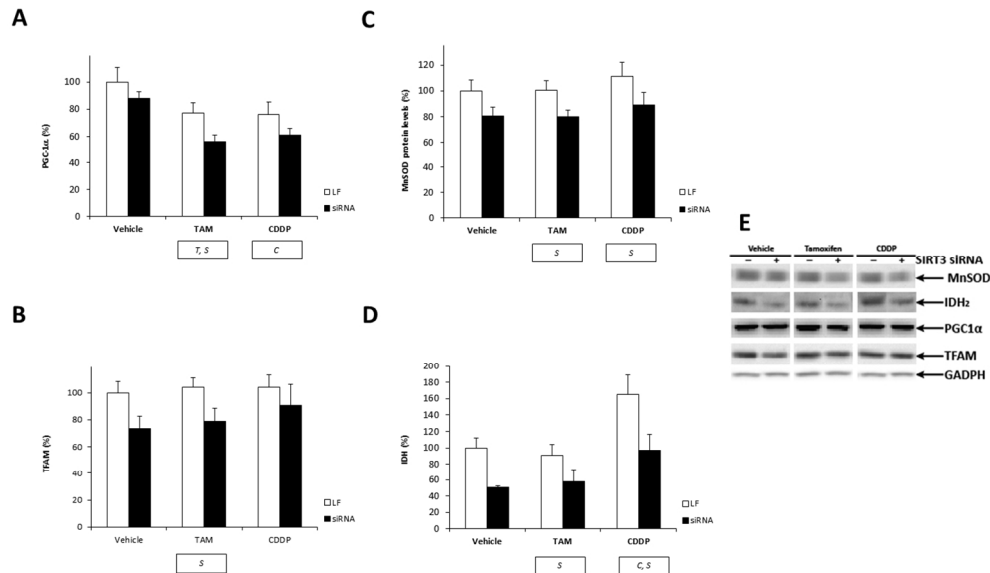


Figure 4. PGC-1 $\alpha$ , TFAM, MnSOD and IDH2 protein levels after siRNA transfection and cytotoxic treatments. Western Blots of (A) PGC-1 $\alpha$ ; (B) TFAM; (C) MnSOD; and (D) IDH2. (E) Representative Western Blot analysis bands for MnSOD, IDH2, PGC-1 $\alpha$  and TFAM. GAPDH was used as a housekeeping protein. All determinations were performed after siRNA transfection and treatment with 10  $\mu$ M cisplatin or 10  $\mu$ M tamoxifen for 48 hours. Values are expressed as means  $\pm$  SEM (n=6) and normalized as percentage of the control value. Control cells are shown in white, and cells transfected with SIRT3 siRNA in black. ANOVA analysis was carried out where: S indicates a siRNA effect, C cisplatin effect, and T tamoxifen effect.

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Figure 5

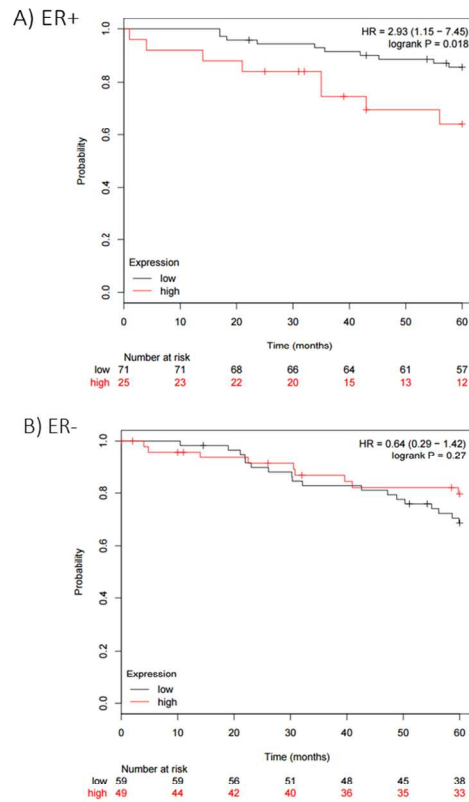


Figure 5. Kaplan-Meier survival curves show that high SIRT3 expression corresponds to a lower overall survival for ER-positive grade 3 breast cancer patients. (A) Kaplan-Meier plot for ER+ 3 grade breast cancer patients. (B) Kaplan-Meier plot for ER- 3 grade breast cancer patients. Kaplan-Meier plots were made using the online ([www.kmplot.com](http://www.kmplot.com)) Kaplan-Meier plotter dataset.

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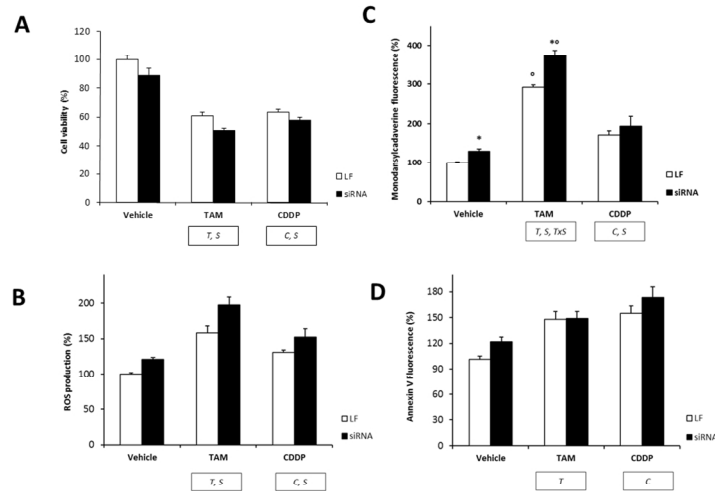


Figure 6. Cell viability, ROS production, autophagy and apoptosis in T47D after siRNA transfection and cytotoxic treatments. (A) Cell viability was assessed by crystal violet assay. (B) ROS production was assessed fluorimetrically with Amplex Red reagent. (C) Autophagic vacuole formation was measured fluorimetrically using the monodansylcadaverine probe. (D) Apoptosis was measured fluorimetrically using the annexin-V probe. All determinations were performed after siRNA transfection and treatment with 10  $\mu$ M cisplatin or 10  $\mu$ M tamoxifen for 48 hours. Values are expressed as means  $\pm$  SEM (n=6) and normalized as percentage of the control value. Control cells are shown in white, and cells transfected with SIRT3 siRNA in black. ANOVA analysis was performed where: S indicates a siRNA effect, C (cisplatin effect), T (tamoxifen effect), and CxS or TxS indicates a combinatory effect of the respective cytotoxic compound and siRNA. A Student's t test ( $P < 0.05$ ) was performed when combinatory effects were observed where: \* indicates a significant difference between vehicle and siRNA-treated cells; and <sup>o</sup> between control and cytotoxic treatment.

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